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DOCKET NO.: JJPR-0035

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Peter J. Connolly, et al.

Application No.: 10/799,324

Filing Date: March 12, 2004

For: SUBSTITUTED AMINO ACIDS AS ERYTHROPOIETIN MIMETICS

Confirmation No.:

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT: May 19, 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Richard D. Watkins
REGISTRATION NO.: 50,993

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;
or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☐ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☒ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
 - ☒ Copies of references **1 thru 18** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **09/927,111**, filed **August 10, 2001**.

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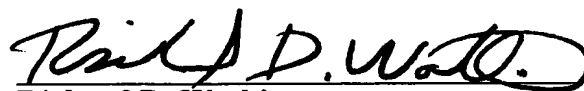
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Date:

May 19, 2004



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. JJPR-0035	Application No. 10/799,324
		Applicant Peter J. Connolly, et al.	
		Filing Date March 12, 2004	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	1	Bonjoch, J. et al., "Synthesis of Enantiopure (2R, 3aS, 7aS)-2-Ethylcatahydroindol-6-One and its Fischer Indolization", <i>Tetrahedron:Asymmetry</i> , 1997 , 8(18), 3143-3151	
	2	Foucaud, A. et al., "Preparation d'amines Allyliques a Partir D'acyloxy-3-Methylene-2 Propionates de Methyle Substitues en 3 Par Un Groupement Aromatique ou Heteroaramatique", <i>Manuscrit Recu Le</i> , 1989 , 24-01, 22-03	
	3	Hoffman, R. et al., "An Improved Enantiospecific Synthesis of Statine and Statine Analogs via 4-(N, N-Dibenzylamino)-3-Keto Esters, <i>J. Org. Chem.</i> , 1997 , 62, 2292-2297	
	4	Julia, M. et al., "Cyclisations par Substitution Aromatique Radicalaire. I. Synthesis de Composes Tetraliniques Par Addiction-Cyclisation Radicalaire", <i>Maunscript Recu Le</i> , 26.2.68	
	5	Kawasa, M., "Unusual Reactions of Secondary Amino Acids with Trifluoroacetic Anhydride: A Novel Access to α -Trifluoromethylated Acyloins", <i>Tetrahedron Letters</i> , 1994 , 35(1), 149-152	
	6	Koji, N. et al., "CODEN:JKXXAF. 52024765 770225 Showa. Patent written in Japanese. Application: JP 75-100635 750821 CAN, 87:68150	
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	8	Li, J.P. et al., "Activation of Cell Growth by Binding of Friend Spleen Focus-Forming Virus gp55 Glycoprotein to the Erythropoietin Receptor", <i>Letters to Nature</i> , February 22, 1990 , 343	
	9	Oppolzer, W. et al., "Stereoselective Synthesis of Benz[f] Isoindoline-Derivatives by Intramolecular Cycloadditions of Styrenes to Olefins", <i>Helvetica Chimica Acta</i> , 1976 , 59(4), 121	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	11	Tsatsas, MG., "Sur Quelques Chloracetamides", <i>manuscript Recu Le</i> , 8.3.68	
	12	White, W. et al., "The Ortho_claisen Rearrangement. Iv. The Rearrangement of X-Cinnalyl α -Tolyl Ethers., <i>The Department of Chemistry, The Ohio State University, Columbus 10, Ohio, November 28, 1960</i>	
	13	Database CAPLUS on STN, Acc. No. 1996:461584, Wrighton, et al., "Small Peptides as Potent Mimetics of the Protein Hormone Erythropoietin", <i>Science</i> , 1996 , 273, 5274	
	14	Database CAPLUS on STN, Acc No. 1994:270195, <i>Heterocycles</i> , 1993 , 36(11), 2441-2444	
	15	Database CAPLUS on STN, Acc No. 1993:29833, HIRANO, JP 04143751	
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Form PTO-1449 Modified

List of Patent and Publications
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Group
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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	18	6,310,078 B1	10/30/01	Connolly, et al.	514	330

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

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